



AF2155 61

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy Roy Block et al. Date: June 22, 2004

Serial No.: 09/694,586 Group No.: 2155

Filed: October 23, 2000 Confirmation No.: 8940

For: DYNAMIC MODIFICATION OF  
CLUSTER COMMUNICATION  
PARAMETERS IN CLUSTERED  
COMPUTER SYSTEM Examiner: B. BruckartINFORMATION DISCLOSURE STATEMENT AND PETITION REQUESTING  
CONSIDERATION UNDER 37 C.F.R. §1.97(d)Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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JUL 07 2004

Technology Center 2100

Applicants petition the Commissioner of Patent and Trademark that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement and Petition Requesting Consideration shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/694,586  
Docket No. ROC920000192US1

- 1 -

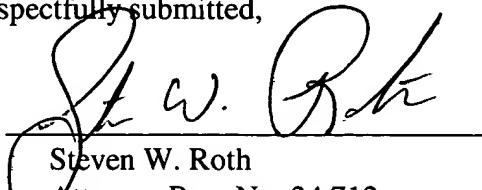
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03694586

This Information Disclosure Statement and Petition Requesting Consideration is being filed under 37 C.F.R. §1.97(d) after final office action or notice of allowance, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) follows below.

The petition fee of \$130.00 set forth in 37 C.F.R. §1.17(i) is to be charged to our Deposit Account No. 09-0465.

Respectfully submitted,

By



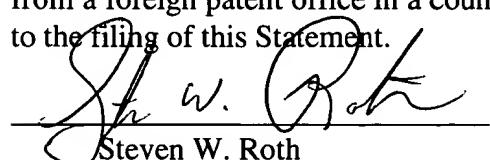
Steven W. Roth

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Telephone: 1-507-253-1600

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#### CERTIFICATION

I hereby certify that each item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.



Steven W. Roth

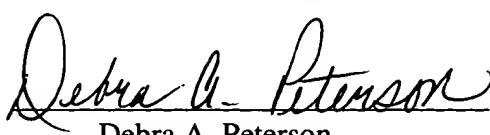
June 22, 2004

Date

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#### CERTIFICATE OF MAILING

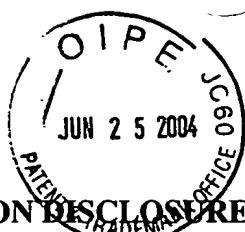
I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2004.



Debra A. Peterson

June 22, 2004

Date


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 09/694,586  
 Filing Date: October 23, 2000  
 First Named Inventor: Timothy R. Block  
 Art Unit: 2155  
 Examiner Name: B. Bruckart  
 Attorney Docket Number.: ROC920000192US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 6,119,163	09-12-2000	Monteiro et al.	
		US -			
		US -			
		US -			
		US -			
		US -			JUL 07 2004
		US -			Technology Center 2100
		US -			
		US -			
		US -			
		US -			

**RECEIVED****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.